



DFW

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Rainer Pommersheim Examiner: Unassigned  
Serial No.: 10/593,226 Group Art Unit: Unassigned  
Filed: September 18, 2006 Docket No.: 1374-8 PCT/US  
Confirmation No.: Unassigned Customer No.: 33769  
For: TECHNICAL PROCESS AND PLANT FOR THE PRODUCTION OF COACERVATE CAPSULES Dated: November 29, 2006

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

*I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:  
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On: November 29, 2006  
Signature: Christian P. Bodner  
Christian P. Bodner

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicant submits herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

**UNITED STATES PATENTS**

<b><u>PATENTEE</u></b>	<b><u>PATENT NO.</u></b>	<b><u>ISSUE DATE</u></b>
Suglia, et al.	4,376,113	March 8, 1983
Brian North	5,035,844	July 30, 1991

### **FOREIGN PATENT APPLICATIONS**

<b><u>COUNTRY</u></b>	<b><u>PATENT PUBLICATION NO.</u></b>	<b><u>PUBLICATION DATE</u></b>
PCT	WO01/83099	November 8, 2001
PCT	WO03/031192	April 17, 2003
PCT	WO2004/016234	February 26, 2004
Germany	DE 43 12 970	October 27, 1994
Germany	DE19644343	April 30, 1998

The above-referenced documents are listed on PTO Form 1449. We have enclosed the cited documents to facilitate reference to them. In conformance with the waiver of the copy requirement in 37 C.F.R. §1.98 for cited U.S. patents issued on August 5, 2003, no copies of the foregoing U.S. patents are enclosed.

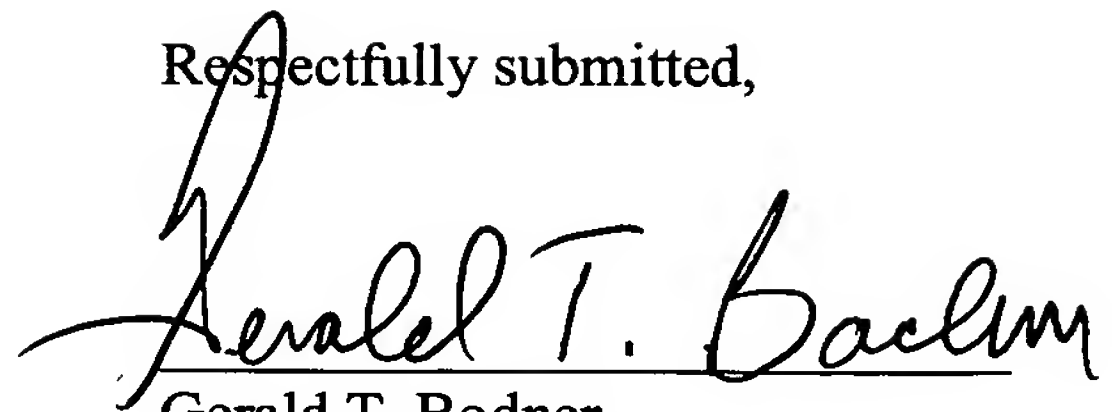
English Abstracts are submitted herewith for each of the foreign documents listed, except full English translations are provided for WO03031192 and WO2004016234.

A copy of the English version of the International Search Report for the corresponding PCT application serial no. PCT/EP2005/002324 is also submitted herewith.

Applicant is not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicant's attorney at the telephone number set forth below. If any additional fees are due, please charge our Deposit Account No. 502335. A duplicate

copy of this document is enclosed for that purpose.

Respectfully submitted,

A handwritten signature in black ink, reading "Gerald T. Bodner". The signature is written in a cursive style with a large, looping initial "G".

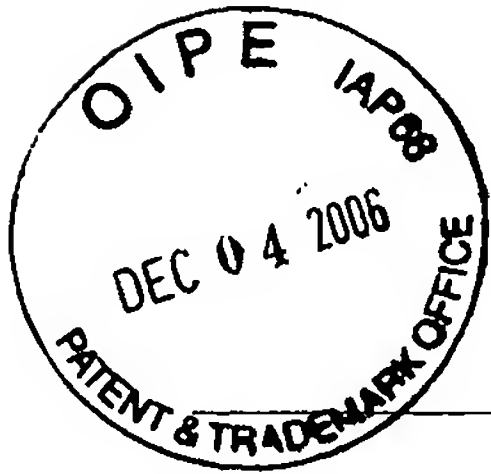
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UnassignedINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		5,035,844	7/30/1991	Brian North	264	4.33	
		4,376,113	3/8/1983	Suglia, et al.	424	34	

## U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		WO03/031192	4/17/2003	PCT	B41M	5/20	X	
		WO2004/016234	2/26/2004	PCT	A51K	7/00	X	
		WO01/83099	11/08/2001	PCT	B01J	13/02	Abstract	
		DE 43 12 970	10/27/1994	Germany	B01J	13/02	Abstract	
		DE19644343	4/30/1998	Germany	B01J	13/02	Abstract	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.